

MAILED

AUG 17 2000

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Paper No. 3

BROWN RAYSMAN MILLSTEIN FELDER & STEINER 120 WEST 45TH STREET NEW YORK, NY 10036

In re Application of: Timothy Keiser, et al.

Application No.: 09/465,607 Filed: December 17, 1999

For: COMPUTER-ÍMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRUTAL SPECIALIST

FUNCTION

DECISION ON PETITION TO MAKE SPECIAL

This is a decision on the petition under 37 C.F.R. § 1.102, filed July 11, 2000, to make the above-identified application special.

The petition requests that the above-identified application be made special under the procedure set forth in M.P.E.P. § 708.02, item II: Infringement.

A grantable petition under 37 C.F.R. § 1.102(d), M.P.E.P. § 708.02, item II: Infringement, must be accompanied by the required fee and a statement alleging:

- (1) that there is an infringing device or product actually on the market or method in use;
- (2) that a rigid comparison of the alleged infringing device, product, or method with the claims of the application has been made, and that, in his or her opinion, some of the claims are unquestionably infringed; and
- (3) that he or she has made or caused to be made a careful and thorough search of the prior art or has a good knowledge or the pertinent prior art.

Further, Applicant must provide one copy of each of the references deemed most closely related to the subject matter encompassed by the claims if said references are not already of record.

The petition is **GRANTED**.

The application will retain its special status throughout its entire course of prosecution the Patent and Trademark Office, including appeal, if any to the Board of Patent Appeals and Interferences, subject only to diligent prosecution by the applicant.

The application file will be forwarded to the examiner for expedited prosecution.

Kenneth A. Wieder

Special Program Examiner Technology Center 2700

Communications & Information Processing

(703) 305-4710